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PATENT TRADEMARK OFFICE

Docket No: 4970/OK115

**DARBY & DARBY P.C.**

805 Third Avenue  
New York, New York 10022  
212-527-7700

U.S. Patent and Trademark Office PATENT APPLICATION  
P.O. Box 2327  
Arlington, VA 22202

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Naoki TANAKA and Tatsuro USUKI

Title: SURFACE ACOUSTIC WAVE FILTER

including the items indicated:

1. Specification and 12 claims: 2 indep.; 10 dep.; \_ multiple dep.;  
including 19 page(s) of written description; 3 page(s) of claims;  
1 page(s) of abstract.
2. [X] Drawings, 10 sheets (Figs. 1, 2A-2B, 3A-3B, 4-7, 8A-8B, 9A-9B  
and 10A-10B)
3. [X] Executed Declaration/Power of Attorney  
[] Unexecuted Declaration/Power of Attorney

4. ☐ Application Data Sheet under 37 C.F.R. §1.76.
5. ☒ Assignment for recording to: SANYO ELECTRIC CO., LTD.

☐ Assignment was recorded at Reel No. , Frame No. , on .

☒ Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: SANYO ELECTRIC CO., LTD.

6. ☐ The applicant claims small entity status.
7. ☒ Priority is claimed under 35 U.S.C. §119(b) of:

Country: JAPAN  
Number: 2000-382849  
Date: DECEMBER 15, 2000

A certified copy of the prior document ☐ is enclosed ☒ will follow  
☐ was filed in a previous application.

8. ☐ Priority is claimed under 35 U.S.C. §119(e) of:

Number:  
Date:

9. ☐ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.

10. ☒ Payment in amount of \$780.00, (\$740 filing; \$40 recording)  
in the form of  
☒ check  
☐ deposit account no. 04-0100  
☐ credit card (see attached form)  
(See attached **Fee Computation Sheet**)

11. ☐ Preliminary Amendment

Serial No.

Docket No. 4970/OK115

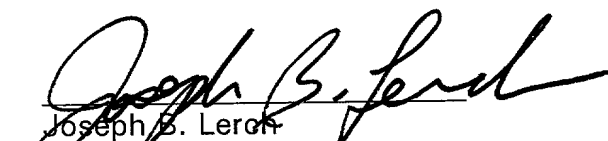
11. ☐ Preliminary Amendment
12. ☒ Information Disclosure Statement
13. ☐ Nucleotide or Amino Acid Sequence Listing.

☐ Hard Copy

☐ CRF

Date: December 13, 2001

Respectfully submitted,

  
Joseph B. Lerch  
Reg. No. 26,936  
Attorney for Applicant(s)

Serial No.

Docket No. 4970/OK115

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$740.00
Total Claims	12 - 20	- 0 = 0	x \$18.00	\$0.00
Independent Claims	2 - 3	- 0 = 0	x \$84.00	\$0.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL . . . . .				\$740.00
[ ] Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$780.00